

# Algebraic Data Types

Hype for Types

September 7, 2021

# Outline

- Look at types we already know from a different angle

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- Formalize some important new type concepts

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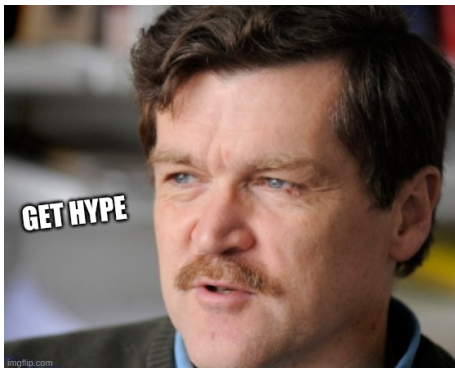
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- break the universe

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# Introduction to Counting

# bool and order

## Notation

Write  $|\tau|$  to denote the number of elements in type  $\tau$ .

```
datatype bool = false | true
datatype order = LESS | EQUAL | GREATER
```

What size are they?



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$|\mathbf{bool}| = 2$

$|\mathbf{order}| = 3$

Often, we refer to **bool** as 2 and **order** as 3:

true : 2

LESS : 3

# Products

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## Question

What is  $|\tau_1 \times \tau_2|$ ?

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For example,

$$\begin{aligned} |\mathbf{bool} \times \mathbf{order}| &= |\mathbf{bool}| \times |\mathbf{order}| \\ &= 2 \times 3 \\ &= 6 \end{aligned}$$

# What do you know!

## Theorem: Commutativity of Products

For all  $\tau_1, \tau_2$ :

$$\tau_1 \times \tau_2 \simeq \tau_2 \times \tau_1$$

## Theorem: Associativity of Products

For all  $\tau_1, \tau_2, \tau_3$ :

$$\tau_1 \times (\tau_2 \times \tau_3) \simeq (\tau_1 \times \tau_2) \times \tau_3$$

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*How do we know?*



# Proving Type Isomorphisms

To prove that  $\tau \simeq \tau'$ , we need a *bijection* between  $\tau$  and  $\tau'$ .

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We write two (total) functions,  $f : \tau \rightarrow \tau'$  and  $f' : \tau' \rightarrow \tau$ , such that  $f$  and  $f'$  are *inverses*.

$$f' (f \ x) \cong x$$

$$f (f' \ x) \cong x$$

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$$f' : (\tau_1 \times \tau_2) \times \tau_3 \rightarrow \tau_1 \times (\tau_2 \times \tau_3)$$

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Need to write:

$$\begin{aligned} f &: \tau_1 \times (\tau_2 \times \tau_3) \rightarrow (\tau_1 \times \tau_2) \times \tau_3 \\ f' &: (\tau_1 \times \tau_2) \times \tau_3 \rightarrow \tau_1 \times (\tau_2 \times \tau_3) \end{aligned}$$

Nice!

$$\begin{aligned} f &= \text{fn } (a, (b, c)) \Rightarrow ((a, b), c) \\ f' &= \text{fn } ((a, b), c) \Rightarrow (a, (b, c)) \end{aligned}$$

# Multiplicative Identity?

## Follow-Up

Is there an identity element, “1”?

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## Theorem

For all types  $\tau$ :

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**SOME**  $x$

( $\tau$  choices)

**NONE**

(1 choice)

# Sums

`datatype ('a,'b) either = Left of 'a | Right of 'b`<sup>1</sup>

---

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$$\frac{\Gamma \vdash e : \tau_1 + \tau_2 \quad \Gamma, x_1 : \tau_1 \vdash e_1 : \tau \quad \Gamma, x_2 : \tau_2 \vdash e_2 : \tau}{\Gamma \vdash \mathbf{case} \ e \ \mathbf{of} \ x_1 \Rightarrow e_1 \mid x_2 \Rightarrow e_2 : \tau} \text{ (CASE)}$$

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And of course...

For all  $\tau_1, \tau_2$ :

$$|\tau_1 + \tau_2| = |\tau_1| + |\tau_2|$$

<sup>1</sup>In the Standard ML Basis, (almost) the Either structure!



# Options as Sums

`datatype ('a,'b) either = Left of 'a | Right of 'b`

Notice:

```
type 'a option = ('a,unit) either
```

We can represent  $\tau$  **option** as  $\tau + \mathbf{unit}$ .

## Example: Distributivity

### Claim

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$$(A \times B) + (A \times C) \simeq A \times (B + C)$$

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$f : ('a * 'b, 'a * 'c) \text{ either} \rightarrow 'a * ('b, 'c) \text{ either}$   
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$f = \text{fn Left } (a, b) \Rightarrow (a, \text{Left } b) \mid \text{Right } (a, c) \Rightarrow (a, \text{Right } c)$   
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### Practical Application

Code refactoring principle! If both cases store the same data, factor it out.

# Zero to Hero

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## Implementing via SML Hacking

```
datatype void = Void of void
fun absurd (Void v) = absurd v
```

Notice: `absurd` is total!

---

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void\*

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For all types  $\tau$ :

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$$f' : 'tau \rightarrow ('tau, void) \text{ either}$$
$$f = \text{fn Left } x \Rightarrow x \mid \text{Right } v \Rightarrow \text{absurd } v$$
$$f' = \text{fn } x \Rightarrow \text{Left } x$$
$$= \text{Left}$$

# Functions

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- How many functions are there of type  $A \rightarrow 2$ ?  $2^{|A|}$

## Theorem

There are  $|B|^{|A|}$  total functions from type  $A$  to type  $B$ .

## Example: Power of a Power

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Yes!

```
f = Fn.uncurry : ('a -> 'b -> 'c) -> ('a * 'b -> 'c)
```

```
f' = Fn.curry   : ('a * 'b -> 'c) -> ('a -> 'b -> 'c)
```

# Recursive Types

# Lists

```
datatype 'a list = Nil | Cons of 'a * 'a list
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```

$$L(\alpha) \simeq \mathbf{unit} + \alpha \times L(\alpha)$$

$$\begin{aligned}L(\alpha) &= 1 + \alpha \times L(\alpha) \\ &= 1 + \alpha \times (1 + \alpha \times L(\alpha)) \\ &= 1 + \alpha + \alpha \times L(\alpha) \\ &= 1 + \alpha + \alpha \times (1 + \alpha \times L(\alpha)) \\ &= 1 + \alpha + \alpha^2 + \alpha^3 + \dots\end{aligned}$$

# Binary Trees

```
datatype 'a tree  
  = Empty  
  | Node of 'a tree * 'a * 'a tree
```

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$$\begin{aligned} T(\alpha) &\simeq \mathbf{unit} + T(\alpha) \times \alpha \times T(\alpha) \\ &\simeq \mathbf{unit} + \alpha \times T(\alpha)^2 \end{aligned}$$

# Binary Shrubs

```
datatype 'a shrub
  = Leaf of 'a
  | Node of 'a shrub * 'a shrub
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$$\begin{aligned} S(\alpha) &\simeq \alpha + S(\alpha) \times S(\alpha) \\ &\simeq \alpha + S(\alpha)^2 \end{aligned}$$



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$$\mathbf{nat = unit + nat}$$

$$\mathbf{nat = 1 + 1 + 1 + \dots = \infty}$$

Therefore, we would expect:

$$\infty = 1 + \infty$$

$$\mathbf{nat \simeq nat\ option}$$

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Therefore, we would expect:

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**nat  $\simeq$  nat option**

```
f = fn Zero => NONE | Succ n => SOME n
```

```
f' = fn NONE => Zero | SOME n => Succ n
```

haha type derivatives go brrr

# Taking Things Too Far

## Question

What is  $\frac{d}{d\alpha}\tau(\alpha)$ ?



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Dismiss the idea outright - this is madness!

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## Our Plan

>:)

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$$\frac{d}{d\alpha} \alpha^3 = 3\alpha^2$$

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$$\alpha \times \alpha \times \alpha \quad \mapsto \quad 3 \times (\alpha \times \alpha)$$

## Conclusion

Differentiating a power “eats” a tuple slot, and tells you which element was removed.

## Differentiating a List

Recall from calculus (?) that:

$$a + ar + ar^2 + ar^3 + \dots = \frac{a}{1 - r}$$

---

<sup>3</sup>What the hype is a negative type?

## Differentiating a List

Recall from calculus (?) that:

$$a + ar + ar^2 + ar^3 + \dots = \frac{a}{1-r}$$

We have:<sup>3</sup>

$$L(\alpha) = 1 + \alpha + \alpha^2 + \dots \stackrel{?}{=} \frac{1}{1-\alpha}$$

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## Differentiating a List

Recall from calculus (?) that:

$$a + ar + ar^2 + ar^3 + \dots = \frac{a}{1-r}$$

We have:<sup>3</sup>

$$L(\alpha) = 1 + \alpha + \alpha^2 + \dots \stackrel{?}{=} \frac{1}{1-\alpha}$$

$$\begin{aligned} \frac{d}{d\alpha} L(\alpha) &= \frac{d}{d\alpha} \frac{1}{1-\alpha} \\ &= \frac{1}{(1-\alpha)^2} \\ &= \left( \frac{1}{1-\alpha} \right)^2 \\ &= L(\alpha)^2 \end{aligned}$$

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# Tree for Two, and Two for Tree

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$$\begin{aligned}\frac{d}{d\alpha} T(\alpha) &= \frac{d}{d\alpha} 1 + \frac{d}{d\alpha} \alpha T(\alpha)^2 \\ &= \alpha \times \frac{d}{d\alpha} T(\alpha)^2 + \frac{d}{d\alpha} \alpha \times T(\alpha)^2 \\ &= 2\alpha T(\alpha) \times \frac{d}{d\alpha} T(\alpha) + T(\alpha)^2 \\ \frac{d}{d\alpha} T(\alpha) &= T(\alpha)^2 \left( \frac{1}{1 - 2\alpha T(\alpha)} \right) \\ &= T(\alpha)^2 L(2\alpha T(\alpha))\end{aligned}$$

# Holey Cow!

$$\frac{d}{d\alpha} \alpha^3 = 3\alpha^2$$

$$\frac{d}{d\alpha} L(\alpha) = L(\alpha)^2$$

$$\frac{d}{d\alpha} T(\alpha) = T(\alpha)^2 L(2\alpha T(\alpha))$$

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## Theorem

The Derivative of a Regular Type is its Type of One-Hole Contexts.<sup>a</sup>

---

<sup>a</sup><http://strictlypositive.org/diff.pdf>

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$$\frac{d}{d\alpha} \alpha^3 = 3\alpha^2$$

“punctured” tuple

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list zipper

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$$\frac{d}{d\alpha} T(\alpha) = T(\alpha)^2 L(2\alpha T(\alpha))$$

tree zipper

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- Generalized our type theory to include *sum types* (and **void**)

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- Considered *recursive types*<sup>4</sup>

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# Conclusion

- Figured out the sizes of various types
- Generalized our type theory to include *sum types* (and **void**)
- Considered *recursive types*<sup>4</sup>
- Invented a type-level hole punch

---

<sup>4</sup>More on that later...