Lambda Calculus

It turns out abstraction is pretty powerful

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Huh? What is it?

What on earth is **abstraction**?

Anyone else wondering what **abstraction** is?

- "I repeatedly put one of my feet in front of the other until I reached WEH 5421"
- "I repeatedly put one of my feet in front of the other until I reached Fuku Tea"
- "I repeatedly put one of my feet in front of the other until I reached Canada"

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An abstraction: adding a hole which can be filled in

"I repeatedly put one of my feet in front of the other until I reached

Let's name this abstraction "I walked to"

Applying the abstraction: filling in the hole

- "I walked to WEH 5421"
- "I walked to Fuku Tea"
- "I walked to Canada"

So then what is an abstraction?

• Something with holes in it which can be filled in later.

• Filling in the holes is called applying the abstraction.

When is an abstraction useful?

When it expresses a **concept**

that is general enough

for there to be many occasions

to apply

the **abstraction**

Lambda Calculus

A formalization of **abstractions** and **applications**

Representing Abstraction

- Is the "hole" representation sufficiently precise?
- No; example:



What should be the result of applying this abstraction to "functions"?

- "functions are functions"?
- "functions are "?
- "____are functions"?
- "_____are____"?

Representing Abstraction

- Solution: to make an abstraction,
 - Replace the hole(s) an abstraction refers to with a variable
 - Say which variable the abstraction refers to
- Let's also use some arbitrary particular symbol to indicate that we're making an abstraction, just to make parsing easier.



Representing Application

• Is the "putting the abstraction to the left of the thing we're applying it to" representation sufficiently precise?

• Ye

- Is that all we need to formalize about this calculus?
- We want these expressions to be "equal" in some sense: ((λx. (λy. "x are y")) functions) values ≡ "functions are values" So we still need to formalize this notion of "equality"

Specifying What We Want to be "Equal"

- There are a lot of subtly different ways to do this
- I'm going to do what I consider the most satisfying approach, from a PL theory perspective:
- Defining a small-step dynamics for lambda calculus, and expressing equality in terms of it
 - I'll actually discuss a few different ways to define the dynamics

The Core of the Dynamics

There are a few rules that people find so interesting that there are names for them:

$$\overline{\lambda x.e \to \lambda y.[y/x]e}^{\alpha}$$

$$\overline{(\lambda x.e_1) \ e_2 \to [e_2/x]e_1}^{\beta}$$

$$\overline{\lambda x.e \ x \to e}^{\eta}$$

The Core of the Dynamics

I don't find α or η particularly interesting

$$\overline{\lambda x.e \to \lambda y.[y/x]e} \ \alpha$$

$$\overline{(\lambda x.e_1) \ e_2 \to [e_2/x]e_1} \ \beta$$

$$\frac{1}{\lambda x.e \ x \to e} \ \eta$$

Completing the Dynamics: Lazy, Deterministic

$$\overline{(\lambda x.e_1) \ e_2 \to [e_2/x]e_1} \ \beta$$

Consider evaluating this expression if we only have the β rule: ((λx . (λy . "x are y")) functions) values

Problem: this expression can't step because the expression in the function position isn't a lambda

Completing the Dynamics: Lazy, Deterministic

$$\frac{1}{(\lambda x.e_1) \ e_2 \to [e_2/x]e_1} \ \beta$$

Solution:

$$\frac{e_1 \to e_1'}{e_1 \ e_2 \to e_1' \ e_2}$$

Completing the Dynamics: Lazy, Deterministic

 $\overline{(\lambda x.e_1) \ e_2 \rightarrow [e_2/x]e_1} \ \beta$

 $\frac{e_1 \to e_1'}{e_1 \ e_2 \to e_1' \ e_2}$

Completing the Dynamics: More Traditional

$$\overbrace{\lambda x.e \to \lambda y.[y/x]e}^{\alpha}$$

$$\frac{1}{(\lambda x.e_1) \ e_2 \to [e_2/x]e_1} \ \beta$$

$$\frac{e_2 \to e'_2}{\to [e_2/x]e_1} \beta \qquad \qquad \frac{e_2 \to e'_2}{e_1 \ e_2 \to e_1 \ e'_2}$$

$$\frac{1}{\lambda x.e \ x \to e} \ \eta \qquad \qquad \frac{e \to \partial}{\lambda x.e \ \to e}$$

$$\frac{e \to e'}{\lambda x. e \to \lambda x. e'}$$

Defining Equivalence using Dynamics

$$\frac{e_1 \to e_2}{e_1 \equiv e_2}$$

$$\frac{e_1 \equiv e_2}{e \equiv e} \quad \frac{e_1 \equiv e_2}{e_2 \equiv e_1} \quad \frac{e_1 \equiv e_2}{e_1 \equiv e_3} \quad \frac{e_2 \equiv e_3}{e_1 \equiv e_3}$$

Definability

Lambda calculus supports every feature you've seen in programming languages

Definability

- Features of Lambda++ which we'll express in lambda calculus:
 - Tuples
 - Sums
 - Fixed points (what?)
- The key to defining data structures in lambda calculus: Asking how those data structures are used A lot of the time it's just a matter of continuation-passing style and currying

How is a tuple used? let
$$(x,y) = e_1$$
 in e_2

So we need the tuple "usage" form to fill in the holes in e_2 with the elements of the tuple

So this will appear somewhere in the "usage" form for tuples:

$$\lambda x.\lambda y.e_2$$

And it'll need to get applied to the elements of the tuple

 $(e_1, e_2) \triangleq \lambda f. f e_1 e_2$

 $(e_1, e_2) \triangleq \lambda f. f e_1 e_2$ let $(x, y) = e_1$ in $e_2 \triangleq e_1(\lambda x.\lambda y.e_2)$

 $(e_1, e_2) \triangleq \lambda f. f e_1 e_2$ let $(x, y) = e_1$ in $e_2 \triangleq e_1(\lambda x.\lambda y.e_2)$ #1 $e \triangleq e(\lambda x.\lambda y.x)$

 $(e_1, e_2) \triangleq \lambda f. f e_1 e_2$ let $(x, y) = e_1$ in $e_2 \triangleq e_1(\lambda x.\lambda y.e_2)$ #1 $e \triangleq e(\lambda x.\lambda y.x)$ #2 $e \triangleq e(\lambda x.\lambda y.y)$

How is a sum injection used? case e of INL $x_1 \Rightarrow e_1$ INR $x_2 \Rightarrow e_2$

So we need the sum "usage" form to select one of the branches and fill in the corresponding hole

So these will appear somewhere in the usage form, and one of them will need to be applied:

$$\lambda x_1.e_1 \qquad \lambda x_2.e_2$$

INL $e \triangleq \lambda k_1 . \lambda k_2 . k_1 e$

INL $e \triangleq \lambda k_1 . \lambda k_2 . k_1 e$ INR $e \triangleq \lambda k_1 . \lambda k_2 . k_2 e$

 $\begin{aligned} \text{INL } e &\triangleq \lambda k_1 . \lambda k_2 . k_1 e \\ \text{INR } e &\triangleq \lambda k_1 . \lambda k_2 . k_2 e \end{aligned} \\ \text{case } e \text{ of } \text{INL } x_1 \Rightarrow e_1 \mid \text{ INR } x_2 \Rightarrow e_2 \triangleq e(\lambda x_1 . e_1)(\lambda x_2 . e_2) \end{aligned}$

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First of all, what is a fixed point?

fix x is
$$e \rightarrow [(\text{ fix } x \text{ is } e)/x]e$$

For example:
fix fact is
fn 0 => 1
| n => n * fact (n - 1)



to whatever we use to achieve fixed points. Let's call it Y.

So we want $Y(\lambda x.e) \equiv (\lambda x.e)(Y(\lambda x.e))$

$Y(F) \equiv F(Y(F))$

Claim: If we let

$$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$$

then this equivalence will hold.

$$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$$

$$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$$

$$\rightarrow F((\lambda x.F(x \ x))(\lambda x.F(x \ x)))$$

$$Y(F)$$

$$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$$

$$\rightarrow F((\lambda x.F(x \ x)) \ (\lambda x.F(x \ x)))$$

$$= F(Y(F))$$

Goal: $Y(F) \equiv F(Y(F))$

$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$

$$Y(F) = (\lambda x.F(x \ x))(\lambda x.F(x \ x))$$
$$Y = \lambda F.(\lambda x.F(x \ x))(\lambda x.F(x \ x))$$

fix x is
$$e \triangleq Y(\lambda x.e)$$

where $Y = \lambda F.(\lambda x.F(x \ x))(\lambda x.F(x \ x))$