

# Category Theory (for Programmers)

Hype for Types

March 21, 2023

# What is a category?

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$
- for every arrow, a source  $x \in \text{Ob}(\mathcal{C})$

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$
- for every arrow, a source  $x \in \text{Ob}(\mathcal{C})$
- for every arrow, a target  $y \in \text{Ob}(\mathcal{C})$

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$
- for every arrow, a source  $x \in \text{Ob}(\mathcal{C})$
- for every arrow, a target  $y \in \text{Ob}(\mathcal{C})$
- for every object  $x \in \text{Ob}(\mathcal{C})$ , an arrow  $\text{id}_x : x \rightarrow x$

# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$
- for every arrow, a source  $x \in \text{Ob}(\mathcal{C})$
- for every arrow, a target  $y \in \text{Ob}(\mathcal{C})$
- for every object  $x \in \text{Ob}(\mathcal{C})$ , an arrow  $\text{id}_x : x \rightarrow x$
- for every arrow  $v : x \rightarrow y$  and  $u : y \rightarrow z$ , an arrow  $u \circ v : x \rightarrow z$



# Categories

## Definition

A *category*  $\mathcal{C}$  is the data:

- a collection of objects,  $\text{Ob}(\mathcal{C})$
- a collection of arrows,  $\text{Arr}(\mathcal{C})$
- for every arrow, a source  $x \in \text{Ob}(\mathcal{C})$
- for every arrow, a target  $y \in \text{Ob}(\mathcal{C})$
- for every object  $x \in \text{Ob}(\mathcal{C})$ , an arrow  $\text{id}_x : x \rightarrow x$
- for every arrow  $v : x \rightarrow y$  and  $u : y \rightarrow z$ , an arrow  $u \circ v : x \rightarrow z$
- for every arrow  $f : y \rightarrow z$ ,  $g : x \rightarrow y$ ,  $h : w \rightarrow x$ ,  
 $f \circ (g \circ h) = (f \circ g) \circ h$

# Examples of Categories

There are many categories. For example:

# Examples of Categories

There are many categories. For example:

- Objects are sets, arrows are functions
- Objects are groups, arrows are group homomorphisms
- Objects are “numbers”, arrows are for  $\leq$
- Objects are propositions, arrows are implications
- Objects are SML types, arrows are (total) functions

# Examples of Categories


There are many categories. For example:

- Objects are sets, arrows are functions
- Objects are groups, arrows are group homomorphisms
- Objects are “numbers”, arrows are for  $\leq$
- Objects are propositions, arrows are implications
- Objects are SML types, arrows are (total) functions

We'll focus on the last one.

# Mappables<sup>1</sup>

---

<sup>1</sup>Well, “functors”, but that’s already a thing in SML... 

# From Category to Category

What would a transformation from category to category look like?

# From Category to Category

What would a transformation from category to category look like?

We must:

- turn objects into objects
- turn arrows into arrows

# From Category to Category

What would a transformation from category to category look like?

We must:

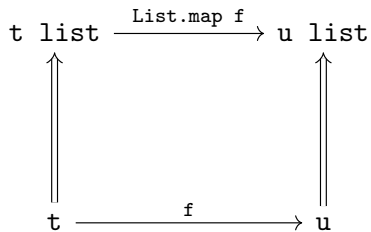
- turn objects into objects
- turn arrows into arrows

How about:

```
type 'a map_obj    = 'a list
fun      map_arr f = List.map f
```



# Visualizing Lists



# Mappables?

## Definition?

A *mappable*  $M$  is the data:

- type 'a t

# Mappables?

## Definition?

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`

# Mappables?

## Definition?

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`

# Mappables?

## Definition?

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`

In other words:

```
signature MAPPABLE =  
  sig  
    type 'a t  
    val map : ('a -> 'b) -> 'a t -> 'b t  
  end
```

## Which map?

What if we picked:

```
type 'a map_obj    = 'a list

fun map_arr1 f =
  fn _ => []
fun map_arr2 f =
  fn l => List.map f (List.rev l)
fun map_arr3 f =
  fn []      => []
  | _::xs => List.map f xs
```

## Which map?

What if we picked:

```
type 'a map_obj = 'a list

fun map_arr1 f =
  fn _ => []
fun map_arr2 f =
  fn l => List.map f (List.rev l)
fun map_arr3 f =
  fn [] => []
  | _::xs => List.map f xs
```

Problems:

```
map_arr Fn.id [1,2,3] =?= [1,2,3]
```

```
map_arr String.length o map_arr Int.toString
    =?=
```

```
map_arr (String.length o Int.toString)
```

# Mappables

## Definition

A *mappable*  $M$  is the data:

- type 'a t



# Mappables

## Definition

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`

# Mappables

## Definition

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`
- upholds `map id = 'a t -> 'a t id`

# Mappables

## Definition

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`
- upholds `map id = 'a t -> 'a t id`
- upholds `map f o map g = map (f o g)`

# Mappables

## Definition

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`
- upholds `map id = 'a t -> 'a t id`
- upholds `map f o map g = map (f o g)`

# Mappables

## Definition

A *mappable*  $M$  is the data:

- type `'a t`
- value `map : ('a -> 'b) -> 'a t -> 'b t`
- upholds `map id = 'a t -> 'a t id`
- upholds `map f o map g = map (f o g)`

In other words:

```
signature MAPPABLE =  
  sig  
    type 'a t  
    val map : ('a -> 'b) -> 'a t -> 'b t  
    (* invariants: ... *)  
  end
```

# Optimization: Loop Fusion!

If we have:

```
int [n] arr;  
  
for (int i = 0; i < n; i++)  
    arr[i] = f(arr[i]);  
  
for (int i = 0; i < n; i++)  
    arr[i] = g(arr[i]);
```

---

<sup>2</sup>Not just for lists - any data structure with a “sensible” notion of map works!

# Optimization: Loop Fusion!

If we have:

```
int [n] arr;  
  
for (int i = 0; i < n; i++)  
    arr[i] = f(arr[i]);  
  
for (int i = 0; i < n; i++)  
    arr[i] = g(arr[i]);
```

then it must be equivalent to:<sup>2</sup>

```
for (int i = 0; i < n; i++)  
    arr[i] = g(f(arr[i]));
```

---

<sup>2</sup>Not just for lists - any data structure with a “sensible” notion of map works!

# Some Example Mappables



# Some Example Mappables

- Lists

# Some Example Mappables

- Lists
- Options

# Some Example Mappables

- Lists
- Options
- Trees

# Some Example Mappables

- Lists
- Options
- Trees
- Streams

# Some Example Mappables

- Lists
- Options
- Trees
- Streams
- Functions `int -> 'a`

# Some Example Mappables

- Lists
- Options
- Trees
- Streams
- Functions `int -> 'a`
- ...

# Some Example Mappables

- Lists
- Options
- Trees
- Streams
- Functions `int -> 'a`
- ...

# Some Example Mappables

- Lists
- Options
- Trees
- Streams
- Functions `int -> 'a`
- ...

i.e., (almost) anything polymorphic.

## Conclusion

It's a useful abstraction.



# Monads

# Descent into partial madness

Partial functions return options

# Descent into partial madness

Partial functions return options:

- `sqrt : int -> int opt`

# Descent into partial madness

Partial functions return options:

- `sqrt : int -> int opt`
- `div : (int * int) -> int opt`

# Descent into partial madness

Partial functions return options:

- `sqrt : int -> int opt`
- `div : (int * int) -> int opt`
- `head : a list -> a opt`

# Descent into partial madness

Partial functions return options:

- `sqrt : int -> int opt`
- `div : (int * int) -> int opt`
- `head : a list -> a opt`
- `tail : a list -> a list opt`

# Descent into partial madness

Partial functions return options:

- `sqrt : int -> int opt`
- `div : (int * int) -> int opt`
- `head : a list -> a opt`
- `tail : a list -> a list opt`

How would we write the partial version of `tail_3`

```
(* tail_3 : a list -> a list *)  
fun tail_3 (_::_::_::L) = L
```

## Composing partial functions

How would we write the partial version of `tail_3`?

```
tail_3 : 'a list -> 'a list opt
```



# Composing partial functions

How would we write the partial version of `tail_3`?

```
tail_3 : 'a list -> 'a list opt
```

Partial madness!

```
fun tail_3 L0 =  
  case tail L0 of  
    NONE => NONE  
  | SOME L1 =>  
    ( case tail L1 of  
      NONE => NONE  
    | SOME L2 => tail L2)
```

# Composing partial functions

How would we write the partial version of `tail_3`?

```
tail_3 : 'a list -> 'a list opt
```

Partial madness!

```
fun tail_3 L0 =  
  case tail L0 of  
    NONE => NONE  
  | SOME L1 =>  
    ( case tail L1 of  
      NONE => NONE  
    | SOME L2 => tail L2)
```

What about `tail_5`?

## Composing partial functions (again)

How would we write the partial version of `tail_5`?

```
tail_5 : 'a list -> 'a list opt
```

## Composing partial functions (again)

How would we write the partial version of `tail_5`?

```
tail_5 : 'a list -> 'a list opt
```

If only...

```
val tail_5 = tail o tail o tail o tail o tail
```

## Composing partial functions (again)

How would we write the partial version of `tail_5`?

```
tail_5 : 'a list -> 'a list opt
```

If only...

```
val tail_5 = tail o tail o tail o tail o tail
```

Another kind of compose

```
o : (b -> c) * (a -> b) -> a -> c
```

```
<=< : (b -> c opt) * (a -> b opt) -> a -> c opt
```

## Composing partial functions (again)

How would we write the partial version of `tail_5`?

```
tail_5 : 'a list -> 'a list opt
```

If only...

```
val tail_5 = tail o tail o tail o tail o tail
```

Another kind of compose

```
o : (b -> c) * (a -> b) -> a -> c
```

```
<=< : (b -> c opt) * (a -> b opt) -> a -> c opt
```

Ta-da!

```
fun f <=< g =  
  (fn NONE => NONE | SOME x => f x) o g
```

# More than a composition

Some useful versions of common tools

```
type 'a t = 'a option
```

Compose

```
val <=< : ('b -> 'c t) * ('a -> 'b t) -> ('a -> 'c t)
```

# More than a composition

Some useful versions of common tools

```
type 'a t = 'a option
```

Compose

```
val <=< : ('b -> 'c t) * ('a -> 'b t) -> ('a -> 'c t)
```

Apply

```
val >>= : 'a t * ('a -> 'b t) -> 'b t
```



# More than a composition

Some useful versions of common tools

```
type 'a t = 'a option
```

Compose

```
val <=< : ('b -> 'c t) * ('a -> 'b t) -> ('a -> 'c t)
```

Apply

```
val >>= : 'a t * ('a -> 'b t) -> 'b t
```

Flatten

```
val join : 'a t t -> 'a t
```

```
bind : 'a t * ('a -> 'b t) -> 'b t
```

```
type 'a t = 'a option
```

```
fun x >>= f = case x of SOME x => f x  
                    | NONE => NONE
```

```
bind : 'a t * ('a -> 'b t) -> 'b t
```

```
type 'a t = 'a option
```

```
fun x >>= f = case x of SOME x => f x  
                  | NONE => NONE
```

```
type 'a t = 'a list
```

```
fun xs >>= f = List.concat (List.map f xs)
```

```
bind : 'a t * ('a -> 'b t) -> 'b t
```

```
type 'a t = 'a option
```

```
fun x >>= f = case x of SOME x => f x  
                  | NONE => NONE
```

```
type 'a t = 'a list
```

```
fun xs >>= f = List.concat (List.map f xs)
```

```
type 'a t = 'a * string
```

```
fun (x,a) >>= f = let (y,b) = f x  
                  in (y,a^b) end
```

```
bind : 'a t * ('a -> 'b t) -> 'b t
```

```
type 'a t = 'a option
```

```
fun x >>= f = case x of SOME x => f x  
                  | NONE => NONE
```

```
type 'a t = 'a list
```

```
fun xs >>= f = List.concat (List.map f xs)
```

```
type 'a t = 'a * string
```

```
fun (x,a) >>= f = let (y,b) = f x  
                  in (y,a^b) end
```

```
type 'a t = unit -> 'a
```

```
fun x >>= f = fn () => f (x()) ()
```

```
bind : 'a t * ('a -> 'b t) -> 'b t
```

```
type 'a t = 'a option  
fun x >>= f = case x of SOME x => f x  
                    | NONE => NONE
```

```
type 'a t = 'a list  
fun xs >>= f = List.concat (List.map f xs)
```

```
type 'a t = 'a * string  
fun (x,a) >>= f = let (y,b) = f x  
                    in (y,a^b) end
```

```
type 'a t = unit -> 'a  
fun x >>= f = fn () => f (x()) ()
```

```
datatype 'a t = Ret of 'a | Err of exn  
fun x >>= f = case x of Ret a => f x  
                    | Err x => Err x
```

## EXAMPLE: ERRORS

```
type 'a t = 'a option
fun x >>= f = case x of SOME x => f x
                    | NONE => NONE
fun bind (x, f) = x >>= f

fun div_opt(x : int, y : int) : int t =
  if y = 0 then NONE
  else SOME (x div y)

val _: string t =
  bind (divide (10, 3), fn x =>
    bind (Int.fromString "0", fn y =>
      bind (divide (x, y), fn z =>
        SOME (Int.toString (x + y + z))))))
```

## EXAMPLE: PRINTING

```
type 'a t = string * 'a
fun bind (e : 'a t, f : 'a -> 'b t) : 'b t =
  let
    val (s1, a) = e
    val (s2, b) = f a
  in
    (s1 ^ s2, b)
  end
```



## EXAMPLE: PRINTING

```
fun print (s : string) : unit t =
  (s, ())
fun add (x : int, y : int) : int t =
  ("adding", x + y)
val _ : int t =
  bind (print "hi", fn () =>
    bind (add (20, 22), fn n =>
      ("done", n)))
(* result : ("hiaddingdone", 42) : int t *)
```

# Programming with Monads

```
readInput      : stream -> string option
parseUsername  : string -> string option
getUserFromId  : string -> user option
getAvatar      : user   -> image option
```

# Programming with Monads

```
readInput      : stream -> string option
parseUsername  : string -> string option
getUserFromId  : string -> user option
getAvatar      : user   -> image option
```

SOME TextIO.stdin

```
>>= readInput
>>= parseUsername
>>= getUserFromId
>>= getAvatar
```

# Parallel: Imperative Programming

```
inString <- SOME TextIO.stdIn  
userId <- parseUsername inString  
user <- getUserFromId userId  
avatar <- getAvatar user
```

# Useful pattern!

## Key Idea

Monads are a useful programming tool!

```
signature MONAD =  
  sig  
    type 'a t  
    val return : 'a -> 'a t  
    val bind : 'a t * ('a -> 'b t) -> 'b t  
    val join : 'a t t -> 'a t  
  end
```

# Monads are like burritos

*A monad is a special kind of a functor. A functor  $F$  takes each type  $T$  and maps it to a new type  $FT$ . A burrito is like a functor: it takes a type, like meat or beans, and turns it into a new type, like beef burrito or bean burrito.*

*A functor must also be equipped with a **map** function that lifts functions over the original type into functions over the new type. For example, you can add chopped jalapeños or shredded cheese to any type, like meat or beans; the lifted version of this function adds chopped jalapeños or shredded cheese to the corresponding burrito.*

## Monads are like burritos

*A monad must also possess a **return** function that takes a regular value, such as a particular batch of meat, and turns it into a burrito. The unit function for burritos is obviously a tortilla.*

*Finally, a monad must possess a **join** function that takes a ridiculous burrito of burritos and turns them into a regular burrito. Here the obvious join function is to remove the outer tortilla, then unwrap the inner burritos and transfer their fillings into the outer tortilla, and throw away the inner wrappings.*

*The **map**, **join**, and **return** functions must satisfy certain laws. For example, if **B** is already a burrito, and not merely a filling for a burrito, then **join(return(B))** must be the same as **B**. This means that if you have a burrito, and you wrap it in a second tortilla, and then unwrap the contents into the outer tortilla, the result is the same as what you started with.*