### Polymorphism: What's the deal with 'a?

Hype for Types

March 28, 2023

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Recall lambda abstraction from the Simply Typed Lambda Calculus

$$\frac{\Gamma, x: \tau \vdash e: \tau'}{\Gamma \vdash \lambda(x:\tau)e: \tau \to \tau'}$$

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Notice how we must type annotate every lambda.

Let's write the identity function (assuming some reasonable base types).

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*id true* (\* type error! \*)

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Let's write the identity function (assuming some reasonable base types).  $id = \lambda(x : Nat)x$ But this only works on Nats!  $id \ true \ (* \ type \ error! \ *)$   $id2 = \lambda(x : Bool)x$ This seems really annoying >: (

### What does SML do?

```
val id = fn (x : 'a) => x
val _ = id 1
val _ = id true
val _ = id "nice"
id : 'a -> 'a
```

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val _ = id true
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id : 'a -> 'a
But what is 'a? Is it a type?
If id 1 type checks then 1 : 'a???
```

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Intuitively, we'd like to interpret 'a -> 'a as "for all 'a, 'a -> 'a" The "for all" is *implicit*.

This is great for programming, but confusing to formalize. Let's make it *explicit*!

'a -> 'a  $\Longrightarrow orall a.a o a$ 

The ticks are no longer needed, as we've explicitly bound *a* as a type variable.

How do we construct a value of type  $\forall a.a \rightarrow a$  in our new formalism? We might suggest  $\lambda(x : a)x$ , but once again the type variable is being bound *implicitly*.

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 $\Lambda(a: Type)\lambda(x:a)x: \forall a.a \rightarrow a$ How do we use this?  $(\Lambda(a: Type)\lambda(x:a)x)[Nat] \Longrightarrow \lambda(x: Nat)x$ 

The polymorphic lambda calculus we've developed is called System F. Let's write a grammar!

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The polymorphic lambda calculus we've developed is called System F. Let's write a grammar!

$$e ::= x$$

$$| \lambda(x : \tau)e$$

$$| \Lambda(t : Type)e$$

$$| e_1e_2$$

$$| e_1[\tau]$$

term variable term abstraction type abstraction term application type application

 $\begin{array}{lll} \tau & ::= & t & & {\rm type \ variable} \\ & & \mid & \tau_1 \rightarrow \tau_2 & & {\rm function \ type} \\ & & \mid & \forall t.\tau & & {\rm polymorphic \ type} \end{array}$ 

And some inference rules!

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$$\frac{t \in \Delta}{\Delta \vdash t \text{ type}} \qquad \frac{\Delta \vdash \tau_1 \text{ type } \Delta \vdash \tau_2 \text{ type}}{\Delta \vdash \tau_1 \rightarrow \tau_2 \text{ type}} \qquad \frac{\Delta, t \vdash \tau \text{ type}}{\Delta \vdash \forall t. \tau \text{ type}}$$

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 $\begin{array}{ll} \frac{t \in \Delta}{\Delta \vdash t \ type} & \frac{\Delta \vdash \tau_1 \ type \ \Delta \vdash \tau_2 \ type}{\Delta \vdash \tau_1 \rightarrow \tau_2 \ type} & \frac{\Delta, t \vdash \tau \ type}{\Delta \vdash \forall t.\tau \ type} \\ \\ \frac{x : \tau \in \Gamma}{\Delta; \Gamma \vdash x : \tau} & \frac{\Delta; \Gamma, x : \tau \vdash e : \tau' \quad \Delta \vdash \tau \ type}{\Delta; \Gamma \vdash \lambda(x : \tau)e : \tau \rightarrow \tau'} \\ \\ \frac{\Delta, t; \Gamma \vdash e : \tau}{\Delta; \Gamma \vdash \Lambda(t : \mathsf{Type})e : \forall t.\tau} & \frac{\Delta; \Gamma \vdash e_1 : \tau \rightarrow \tau' \quad \Delta; \Gamma \vdash e_2 : \tau}{\Delta; \Gamma \vdash e_1e_2 : \tau'} \\ \\ \frac{\Delta; \Gamma \vdash e : \forall t.\tau \quad \Delta \vdash \tau' \ type}{\Delta; \Gamma \vdash e : \forall t.\tau} & \Delta \vdash \tau' \ type \end{array}$ 

$$\frac{\Delta; \Gamma \vdash e : \forall t.\tau \quad \Delta \vdash \tau' \ type}{\Delta; \Gamma \vdash e[\tau'] : \tau[\tau'/t]}$$

And some inference rules!

 $\frac{t \in \Delta}{\Delta \vdash t \ type} \qquad \frac{\Delta \vdash \tau_1 \ type \quad \Delta \vdash \tau_2 \ type}{\Delta \vdash \tau_1 \rightarrow \tau_2 \ type}$  $\Delta, t \vdash \tau type$  $\Delta \vdash \forall t.\tau \ type$  $\Delta; \Gamma, x : \tau \vdash e : \tau' \quad \Delta \vdash \tau \ type$  $x: au \in \Gamma$  $\overline{\Delta: \Gamma \vdash x: \tau}$  $\Delta$ ;  $\Gamma \vdash \lambda(x : \tau)e : \tau \rightarrow \tau'$  $\Delta$ . t:  $\Gamma \vdash e : \tau$  $\Delta$ ;  $\Gamma \vdash e_1 : \tau \rightarrow \tau' \quad \Delta$ ;  $\Gamma \vdash e_2 : \tau$  $\Delta$ ;  $\Gamma \vdash \Lambda(t : \mathsf{Type})e : \forall t.\tau$  $\Delta$ :  $\Gamma \vdash e_1 e_2$ :  $\tau'$  $\Delta$ ;  $\Gamma \vdash e$  :  $\forall t.\tau \quad \Delta \vdash \tau'$  type  $\Delta$ :  $\Gamma \vdash e[\tau']$  :  $\tau[\tau'/t]$ 

### Question

Do we need anything else? What about product types? Sum types?

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### $swap: orall a \ b \ c.(a ightarrow b ightarrow c) ightarrow (b ightarrow a ightarrow c) =$

$$swap: orall a \ b \ c.(a 
ightarrow b 
ightarrow c) 
ightarrow (b 
ightarrow a 
ightarrow c) = \ \Lambda(a \ b \ c: Type) \lambda(f:a 
ightarrow b 
ightarrow c) \lambda(x:b) \lambda(y:a) f \ y \ x$$

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$$swap: \forall a \ b \ c.(a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) =$$
$$\Lambda(a \ b \ c: \mathsf{Type})\lambda(f: a \rightarrow b \rightarrow c)\lambda(x: b)\lambda(y: a)f \ y \ x$$
$$compose: \forall a \ b \ c.(a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow (a \rightarrow c) =$$

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$$\Lambda(a \ b \ c : \mathsf{Type})\lambda(f : a \rightarrow b)\lambda(g : b \rightarrow c)\lambda(x : a)g(f \ x)$$

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# Does SML implement System F?

Is the polymorphism of SML equivalent to the polymorphism of System F? Is 'a -> 'a always really  $\forall a.a \rightarrow a$ ?

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Is the polymorphism of SML equivalent to the polymorphism of System F? Is 'a -> 'a always really  $\forall a.a \rightarrow a$ ? Consider:

fun hmm (id : 'a  $\rightarrow$  'a) = (id 1, id true)

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# Does SML implement System F?

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fun hmm (id : 'a  $\rightarrow$  'a) = (id 1, id true)

Type error! In SML, big lambdas can only be present at *declarations*, not arbitrarily inside expressions.

Our function here is equivalent to:

$$hmm = \Lambda(a: Type)\lambda(id: a \rightarrow a)(id 1, id true)$$

Which is *not* the same as:

$$hmm = \lambda(id : \forall a.a \rightarrow a)(id[int] \ 1, id[bool] \ true)$$

Why? Because type inference for System F is undecidable!

### What about exists?

If we can express "for all" as a type, can we express "there exists" as a type?

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If we can express "for all" as a type, can we express "there exists" as a type?

 $\forall t.t \rightarrow t \text{ means "for any type t: if you give me a t, I'll give you a t"}$ So  $\exists t.t \rightarrow t$  should probably mean "there is some *specific* type t, and if you give me that t, I'll give you a t"

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So  $\exists t.t \to t$  should probably mean "there is some *specific* type t, and if you give me that t, I'll give you a t"

#### Question

Does this sound similar to anything in SML?

```
signature S =
   sig
   type t
   val x : t
   val f : t -> t
   end
```

is basically equivalent to:

$$\exists t.\{x:t,f:t\to t\}$$

or even more simply:

 $\exists t.t \times (t \rightarrow t)$ 

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Question

What is a value of type  $\exists t.\tau$ ?

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Answer: A module!

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#### Question

```
What is a value of type \exists t.\tau?
```

Answer: A module!

```
structure M : S =
   struct
   type t = int
   val x = 150
   val f = fn x => x + 1
   end
```

is a value of type  $\exists t. \{x : t, f : t \rightarrow t\}$ 

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To unpack a structure, use the open keyword!

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## Existentialism == Modules!

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In other words, I have a type t and a value of type t \* (t -> t) (Remember the type of M was  $\exists t.t \times (t \rightarrow t)$ )

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## Existentialism == Modules!

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### Main Idea

opening a value (module) of type  $\exists t.\tau$  gives us a type t and a value of type  $\tau$ 

# Typechecking Rules

$$\begin{array}{ll} \underline{\Delta}, t \vdash \tau \ type \\ \overline{\Delta} \vdash \exists t.\tau \ type \end{array} & \begin{array}{ll} \underline{\Delta}; \Gamma \vdash e : [\rho/t]\tau \quad \Delta \vdash \rho \ type \\ \overline{\Delta}; \Gamma \vdash struct \ type \ t = \rho \ in \ e : \exists t.\tau \\ \end{array} \\ \\ \underline{\Delta}; \Gamma \vdash M : \exists t.\tau \quad \Delta, t; \Gamma, x : \tau \vdash e : \tau' \quad \Delta \vdash \tau' \ type \\ \overline{\Delta}; \Gamma \vdash open \ M \ as \ t, x \ in \ e : \tau' \end{array}$$

```
signature STACK =
  sig
    type t
    val empty : t
    val push : int \rightarrow t \rightarrow t
    val pop : t -> (int * t) option
  end
structure ListStack : STACK =
  struct
    type t = int list
    val empty = []
    fun push x xs = x :: xs
    fun pop [] = NONE
      | pop (x :: xs) = SOME (x, xs)
  end
```

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Stack =

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#### Stack =

 $\exists t. \{ empty : t, push : int \rightarrow t \rightarrow t, pop : t \rightarrow (int \times t) \text{ option} \}$ 

ListStack : Stack =

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Stack =

 $\exists t. \{ empty : t, push : int \rightarrow t \rightarrow t, pop : t \rightarrow (int \times t) \text{ option} \}$ 

ListStack : Stack = struct type t = int list in {empty = Nil, push = Cons, pop = ...}

```
signature STACK =
  sig
    type t
    val empty : t
    val push : int -> t -> t
    val pop : t -> (int * t) option
 end
functor MkDoubleStack (S : STACK) : STACK =
  struct
    type t = S.t
    val empty = S.empty
    fun push x s = S.push x (S.push x s)
    val pop = S.pop
  end
```

 $MkDoubleStack : Stack \rightarrow Stack =$ 

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 $MkDoubleStack : Stack 
ightarrow Stack = \lambda(S : Stack).$  open S as t', s in

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 $MkDoubleStack : Stack \rightarrow Stack =$  $\lambda(S:Stack).$ open S as t', s in struct type t = t' in  $\{empty = s.empty,$  $push = \lambda(x : int).(s.push x) o (s.push x)$ pop = s.pop

### Question

Can we encode  $A \times B$  in System F?

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Answer: Yes! But How?

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$$A \times B = \forall R.(A \rightarrow B \rightarrow R) \rightarrow R$$

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 $A \times B = \forall R.(A \rightarrow B \rightarrow R) \rightarrow R$ pair :  $\forall A \ B.A \rightarrow B \rightarrow A \times B =$  $\Lambda(A B) \lambda(x:A) \lambda(y:B) \Lambda(R) \lambda(f:A \rightarrow B \rightarrow R) f x y$ 

 $A \times B = \forall R.(A \to B \to R) \to R$   $pair : \forall A \ B.A \to B \to A \times B =$   $\wedge (A \ B) \ \lambda(x : A) \ \lambda(y : B) \ \wedge(R) \ \lambda(f : A \to B \to R) \ f \ x \ y$   $fst : \forall A \ B.A \times B \to A =$   $\wedge (A \ B) \ \lambda(p : A \times B) \ p[A] \ (\lambda(x : A) \ \lambda(y : B) \ x)$ 

 $A \times B = \forall R.(A \rightarrow B \rightarrow R) \rightarrow R$ pair :  $\forall A \ B.A \rightarrow B \rightarrow A \times B =$  $\Lambda(A B) \lambda(x:A) \lambda(y:B) \Lambda(R) \lambda(f:A \rightarrow B \rightarrow R) f x y$ fst :  $\forall A \ B.A \times B \rightarrow A =$  $\Lambda(A B) \lambda(p:A \times B) p[A] (\lambda(x:A) \lambda(y:B) x)$ snd:  $\forall A B A \times B \rightarrow B =$  $\Lambda(A B) \lambda(p:A \times B) p[B] (\lambda(x:A) \lambda(y:B) y)$ 

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### What can we do with a value of type A + B?

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If we can a function that takes an A and a function that takes a B, we can definitely provide an argument to *one* of them.

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$$A + B = \forall R.(A \rightarrow R) \rightarrow (B \rightarrow R) \rightarrow R$$

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$$A + B = orall R.(A o R) o (B o R) o R$$
  
left :  $orall A B.A o A + B =$   
 $\Lambda(A B) \lambda(x : A) \Lambda(R) \lambda(left : A o R) \lambda(right : B o R)$  left x

 $A + B = \forall R.(A \to R) \to (B \to R) \to R$  $left : \forall A \ B.A \to A + B =$  $\wedge (A \ B) \ \lambda(x : A) \ \wedge (R) \ \lambda(left : A \to R) \ \lambda(right : B \to R) \ left \ x$  $right : \forall A \ B.B \to A + B =$  $\wedge (A \ B) \ \lambda(x : A) \ \wedge (R) \ \lambda(left : B \to R) \ \lambda(right : B \to R) \ right \ x$ 

 $A + B = \forall R.(A \rightarrow R) \rightarrow (B \rightarrow R) \rightarrow R$ *left* :  $\forall A \ B.A \rightarrow A + B =$  $\Lambda(A B) \lambda(x : A) \Lambda(R) \lambda(left : A \to R) \lambda(right : B \to R) left x$ right :  $\forall A \ B.B \rightarrow A + B =$  $\Lambda(A B) \lambda(x : A) \Lambda(R) \lambda(left : B \to R) \lambda(right : B \to R) right x$ 

Question

What about case?

 $A + B = \forall R.(A \rightarrow R) \rightarrow (B \rightarrow R) \rightarrow R$ *left* :  $\forall A \ B.A \rightarrow A + B =$  $\Lambda(A B) \lambda(x : A) \Lambda(R) \lambda(left : A \to R) \lambda(right : B \to R) left x$ right :  $\forall A \ B.B \rightarrow A + B =$  $\Lambda(A B) \lambda(x : A) \Lambda(R) \lambda(left : B \to R) \lambda(right : B \to R) right x$ 

#### Question

What about case?

Answer: An encoded value of type A + B is already a case!

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